

10 Years of REACH – Looking at the Future of Chemical Regulations for SMEs

UNLEASH
OPPORTUNITY

Our Speakers



Moderator

Malik Duhaut

Regulatory Affairs Advisor
Foreign Trade Association



Telmo Vieira Prazeres

Scientific officer
European Chemical Agency



Stéphane Content

Manager product
stewardship
ICCA/Cefic



Dirk von Czarnowski

Vice President Global Key
Accounts
Intertek

Candidate List Substances in Articles: the communication and notification duties of suppliers of articles

FTA Conference 2017 'Unleash
Opportunity'

*10 years of REACH: Looking at the
future of chemical regulations for SMEs*

14 June 2017

Telmo Vieira Prazeres
Scientific officer
Classification and Prioritisation Unit
ECHA

Content

- Ensuring **safe use of articles** placed on the EU market – the role of REACH
- **Communication and notification duties** for Candidate List substances in articles
- **Draft updated *Guidance on requirements for substances in articles***
- Take away

Why ensuring safe use of articles?

- Growing consumer interest about hazardous substances in articles and their safety (e.g. “toxic-free”)
- Campaigns about safety of articles launched by NGOs & public authorities
- Increasing political attention
- Increasing demands for instructions for proper use and disposal (industry and consumers)
- Legal requirements (e.g. REACH): protection of human health and the environment
- Company/business image



How to ensure safe use of articles?

Collect information

Management of supply chain

- Knowledge about the substances in the articles, in particular Candidate List substances
 - Identification of potential risks

Substitution

Communicate information

(incl. recommendations)

Innovation

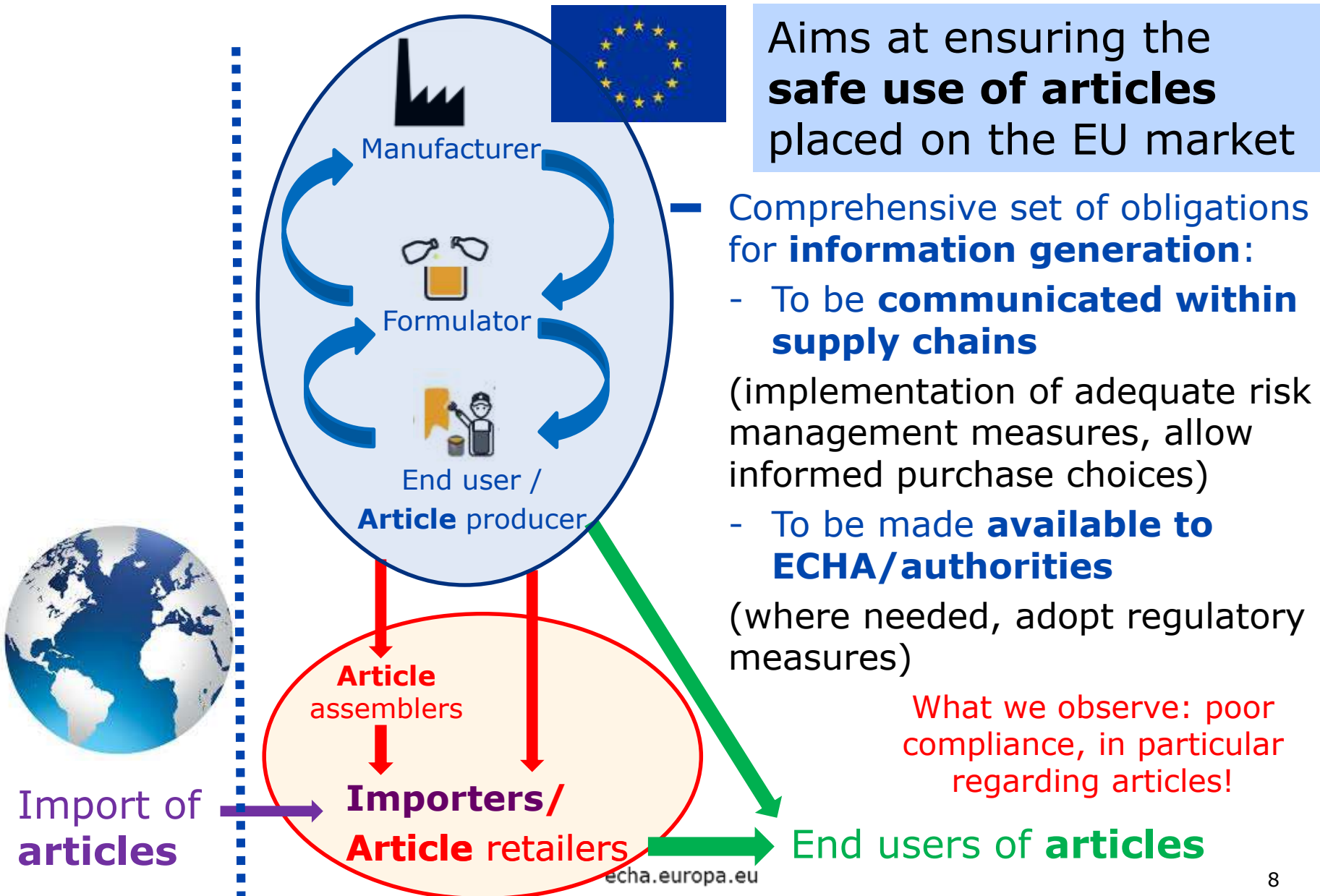
Business sustainability

Benefits of knowing about the substances in your articles

Ensures safe use of articles during their service-life and waste stage placed on the EU market

- Contributes to **business sustainability**:
 - **Increases compliance** with legal requirements
 - May **decrease crisis** [and reputational risks]
- May create **business opportunities** through
 - Informed purchase choices by costumers/consumers
 - Proactive substitution of hazardous substances in articles (marketing advantage; product stewardship; innovation)

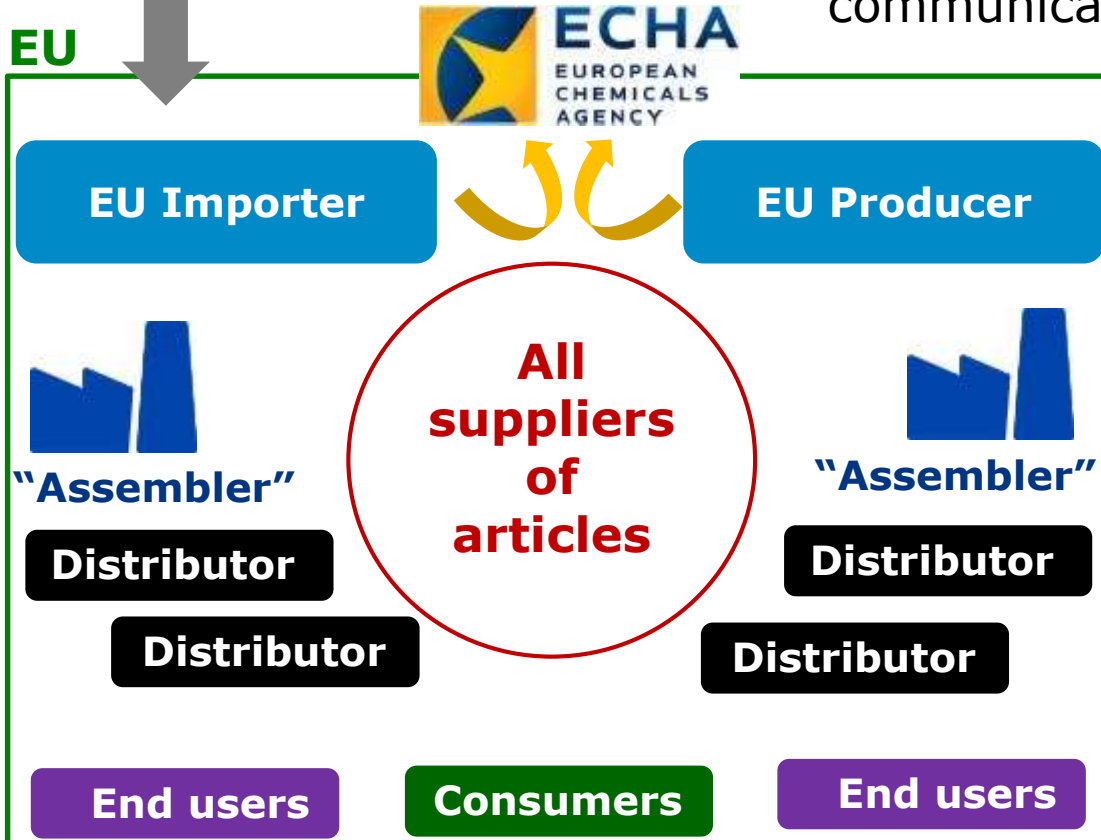
What does REACH foresee?



Overview of duties in the supply chain



- Collect enough information on your articles (traceability of raw materials and articles compositions)
- System or routines in place for an efficient communication

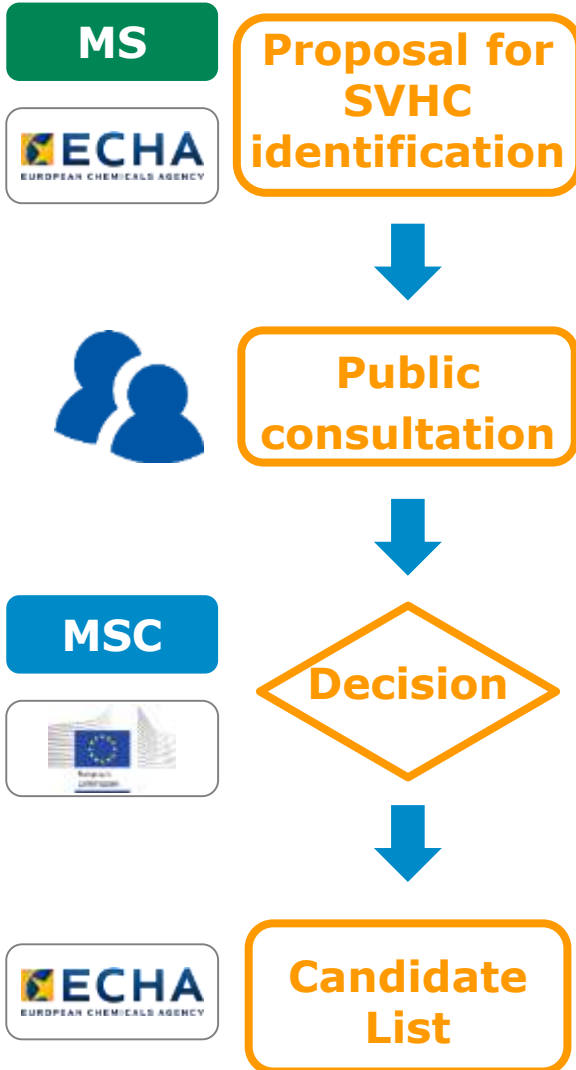


Notification of Candidate List substances in articles [Art. 7(2)]

Communication of information down the supply chain [Art. 33(1)]

Communication of information to consumers upon request [Art. 33(2)]

What are Candidate List substances?



- **Substances are included in the Candidate List** because they have been identified as **substances of very high concern (SVHCs)** due to their hazard properties (human health and/or environment)
- **The Candidate List is dynamic in nature:**
 - It is regularly updated when more substances are identified as SVHC

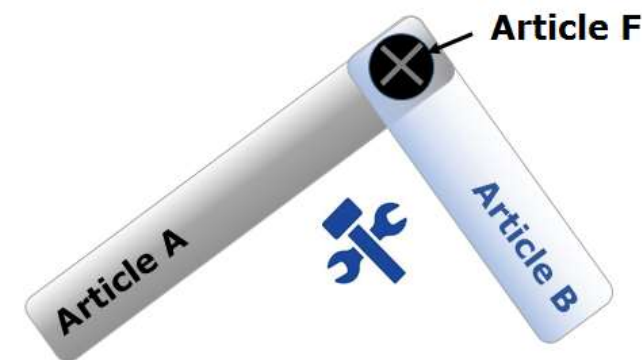
Available on ECHA's website:

<https://echa.europa.eu/candidate-list-table>

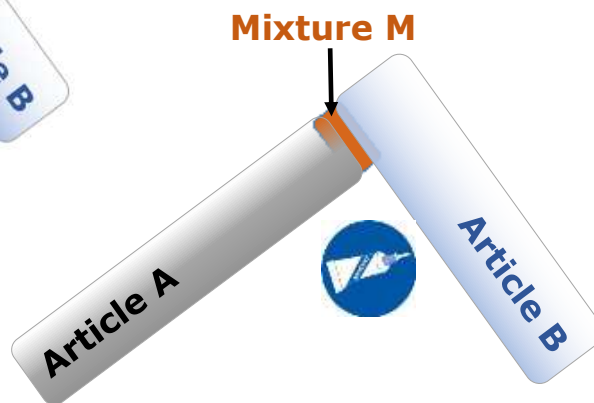
Conclusions of the Court's judgement

(Judgement of 10 September 2015, in case C-106/14)

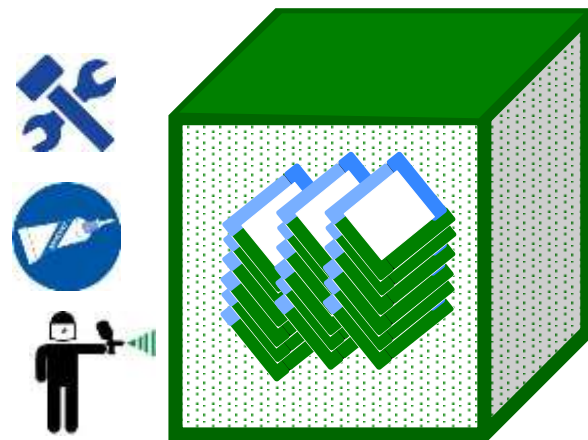
Notification and communication obligations regarding Candidate List substances in articles also **apply to articles that are present in complex objects**, i.e. objects composed of several articles



Articles mechanically assembled



Joining articles using substance/mixture



Very complex objects

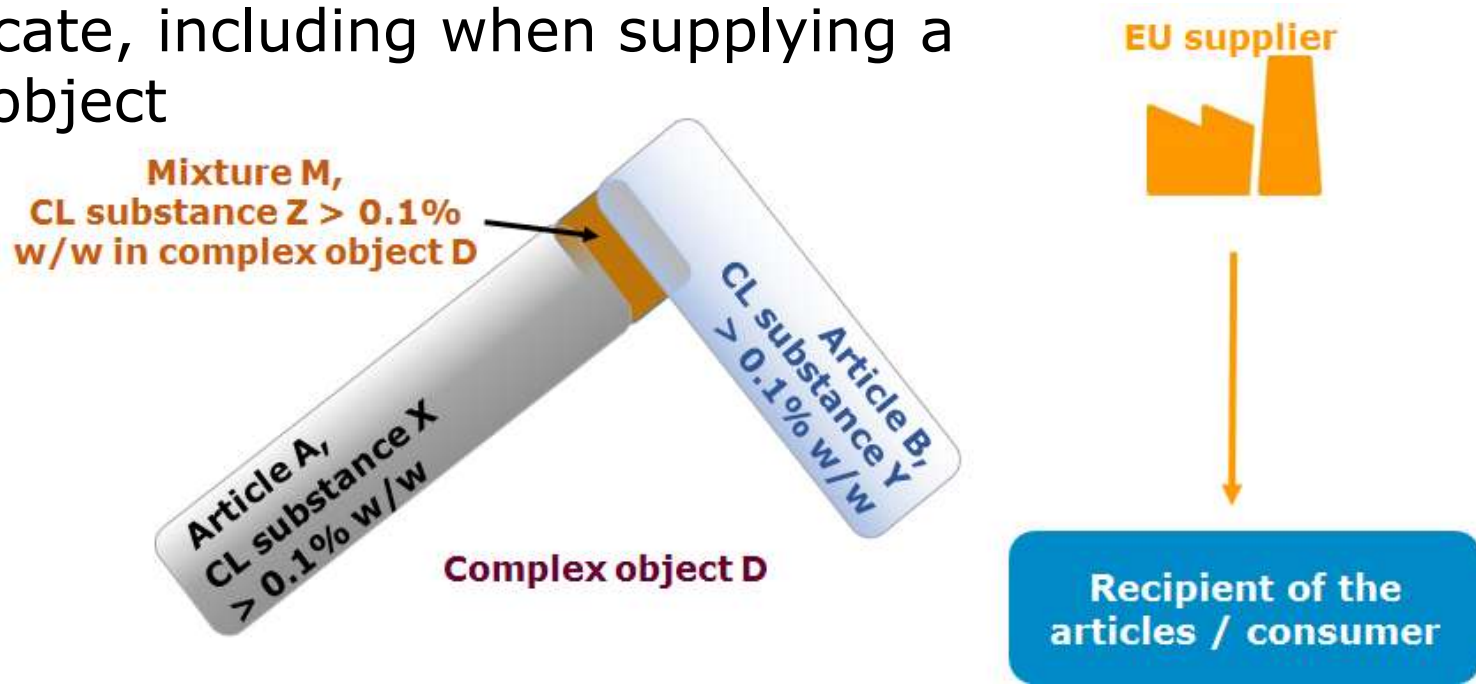
- Use the updated Guidance on requirements for substances in articles
 - Publication: July/August 2017 (tentative)
 - Translation: 2 to 3 months after final publication of EN version

(<https://echa.europa.eu/guidance-documents/guidance-on-reach>)
- The Guidance provides support:
 - To determine **who at the top of the EU supply chains** has the **responsibility to collate, generate and communicate** the relevant information
 - For the identification of notification obligations
 - On how to **apply the 0.1% w/w concentration threshold**
 - On how to apply the 1 t/a (tonnage) threshold (only relevant for the notification)



Draft updated Guidance (2)

- It explains what information you need to communicate, including when supplying a complex object



- In contains examples and hints for facilitating the fulfilment of the SiA requirements





- Familiarise yourself with the updated Guidance after being published (July/August 2017)
- Good supply chain communication leads to compliance and business sustainability:
 - Ensuring traceability of the substances in your articles
 - Use systems and tools that facilitate and streamline the communication and transfer of information
- Check regularly the Candidate List due to its dynamic nature
- Inclusion of a substance in the Candidate List is not a “ban”, however consider substitution of Candidate List substances in your articles
- **Don't be passive... be proactive!**



Thank you!

Telmo.vieiraprazeres@echa.europa.eu

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Challenges for communication in the supply chain

Foreign Trade Association (FTA)

14 June 2017

Stéphane Content

Content

- Objectives
- Survey results
- Examples
- Principles of communication
- Features of communication
- Case study
- Conclusions and next steps

Objective of the project on Supply Chain Outreach

- Context:
 - ❖ Dynamic global regulatory environment
 - ❖ Public awareness and pressure
- Objective is to address:
 - ❖ Supply chain generating **lists to reduce or eliminate chemicals**
 - ❖ Downstream users **requesting information on product composition**
 - ❖ Safe use of chemicals based on science /risk

ICCA Survey on current market conditions

Communication on product formulation by the Chemical Industry

- > **90 % of respondents** receive requests from their customers to disclose ingredient information of products
- > **75 % of respondents** receive requests by third parties acting on behalf of their customers
- > **60 % of respondents** observe an increase in the volume of requests and complexity of questions

Examples of existing lists

[Philips Regulated Substance List](#)

[Apple Restricted Substances List](#)

[Nokia Restricted Substances List](#)

[Blackberry Restricted Substances List](#)

[Wincor Nixdorf Restricted Substances List](#)

[Microsoft Restricted Substance List](#)

[Panasonic Chemical Substances Management Rank Guidelines](#)

[Benettongroup Restricted Substances List](#)

[Levistrauss substances list](#)

[H&M Restricted Substances List](#)

Examples of questionnaires

Canon green procurement survey - Canon additional survey sheet (Supplementary survey 1 <Basic Information about Company> This is a survey sheet to use **secondarily** to confirm about ca

Reference number	20180314_002	Formal ver.	4.00
Date of Data Entry (YYYY/MM/DD)	3/14/2018		
Survey Type	SD		
Survey Category	Resin		
Select Language			
Facility code	803103		

You can choose a language to show in

Responder Information	English	Japanese
Company Name	Canon INC	キヤノン株式会社
EINEC Number		
Product Chemical Substances Provision List		製品化学物質提供リスト
Address Reference		住所 (日本語)
	03-3751-0834	
	03-3758-8225	
E-mail Address	el-legal-external@canon.co.jp	
Message from responder		

Self-declaration form IOS-MAT-0010J Polymeric materials

Table 2 - Confirmation of fulfillment of chemical requirements
Requirements - See IOS-MAT-0010 for specific information on each requirement

Requirements	Type of polymeric materials	Fulfills	Not applicable
Cadmium and its compounds	All	<input checked="" type="checkbox"/>	<input type="checkbox"/>
CNR substances (cat. 1A or 1B) and SVHC	All	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Hazardous waste	Material that contains any proportion of recycled materials	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Lead and its compounds	All	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Alkylphenolethoxylates (APEO), AP-AP phosphites	All	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Azo dyes that may release carcinogenic arylamines	Materials to which colouring agents have been added	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Bisphenol A	Plastic materials	<input checked="" type="checkbox"/>	<input type="checkbox"/>
CFC (Chlorofluorocarbons) and HCFC (hydrochloro-fluorocarbons)	Foamed plastic	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Heavy metals (extractable)	All	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Hexavalent chromium (Cr-VI) compounds	All	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Unfused		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Mercury (Hg) and its compounds		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Organotin compounds		<input checked="" type="checkbox"/>	<input type="checkbox"/>

EC-REACH (tab 2)

Alterations until 2016-06-30 and SVHC candidates list of 2016-06-20

REACH: <http://echa.europa.eu/regulations/reach>

SVHC list: <http://echa.europa.eu/candidate-list-table>

Regulated substances	CAS number	Cont. nr. in REACH	Restriction conditions; to see more text please press "Show extract"	Concentration [enter unit]	Exempt
Prohibited substances (P) EU-REACH Annex 17			Extract from Regulation (EC) No 1831/2003, Annex 17 (source text see www.eur-lex.europa.eu)		
1,1,2-trichloroethane	630-26-6	38	Without prejudice to the other parts of this Annex, the following shall apply to entries 32 to 38. 1. Shall not be placed on the market, or used.		
1,1,2-trichloroethane	78-34-5	35	Without prejudice to the other parts of this Annex, the following shall apply to entries 32 to 38. 1. Shall not be placed on the market, or used.		Solvent - Making - Clean
1,1,2-trichloroethane	78-00-5	34	Without prejudice to the other parts of this Annex, the following shall apply to entries 32 to 38. 1. Shall not be placed on the market, or used.		Solvent
1,1-Dichloroethylene	75-35-4	38	Without prejudice to the other parts of this Annex, the following shall apply to entries 32 to 38. 1. Shall not be placed on the market, or used.		Solvent
2-(2-butoxyethoxy)ethanol (DEGBE)	112-34-5	55	1. Shall not be placed on the market for the first time after 27 June 2010, for supply to the general public, as a constituent of spray paints or spray cleaners in aerosol dispensers in concentrations equal to or greater than 3 %.		Solvent
2-(2-methoxyethoxy)ethanol (DEOME)	111-77-3	54	1. Shall not be placed on the market after 27 June 2010, for supply to the general public, as a constituent of paints, paint strippers, cleaning agents, self-shining emulsions or floor sealants in concentrations equal to or greater than 3 %.		- active - compe
2-naphthylamine	84-58-8	62	1. Shall not be placed on the market, or used, as substances or in mixtures in concentrations greater than 0,1 % by weight.		interme
4-aminobiphenyl, xylaniline	62-67-1	65	1. Shall not be placed on the market, or used, as substances or in mixtures in concentrations greater than 0,1 % by weight.		Dyes
4-nitrophenyl	62-93-3	64	1. Shall not be placed on the market, or used, as substances or in mixtures in concentrations greater than 0,1 % by weight.		

Regulatory Information for Raw Materials

Section 1: Company and Product Information

Supplier Company Name: + Physical State: Solid Liquid Gas

Supplier Product Name/Number: + Flash Point: Enter Flash Point Choose "C" or "F"

Country of Manufacture: + Flash Point method: Enter F.P. method

Product-Tariff (HTS) Code: Enter Tariff Code

Are any of the components used to manufacture this product derived from: + Animal origin? Yes No
Grains? Yes No

Section 2: Composition Data

Please provide complete composition data for the product. Make sure that the composition adds up to 100%.

For any proprietary components, put "Proprietary" in the CASRN field or give INCI name.

ID#	Chemical Name (IUPAC, not trade name)	Type (Substance, Polymer, impurity)	CASRN	Target % Weight (w/w)
1.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>
4.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>
5.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>
6.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>
7.	<input type="text"/>	<input type="checkbox"/> Substance <input type="checkbox"/> Polymer <input type="checkbox"/> Impurity	<input type="text"/>	<input type="text"/>

Workshops scope and targets: electronic sector

- Share possible solutions
- Forum for experience sharing and learning
- Agree on
 - Key principles (5)
 - Necessary features (8) of supply chain communication tools
- **NOT** intended to qualify, rank or judge existing tools



Geographical coverage

Frankfurt, 21-22 May 2016



Las Vegas, 7-8 January 2017



**Shanghai,
7-8 June 2017**



Principles

Proposal:

ICCA has prepared five key principles on supply chain communication

Objective:

Validate if those principles reflect the view of industry stakeholders during workshop discussions

Principle 1

Engaging in an open dialogue about companies' experiences in the use of supply chain communication tools regarding specific elements **will allow increasing benefits for all stakeholders along the supply chain**



Principle 2



**SAFETY
FIRST!**

All Information required for the safe handling and use of products has to be made available to the value chain

Principle 3

An international standard facilitates supply chain communication
e.g. IEC 62474 for electronic



Principle 4



Exchange of information should be **efficient**
with compatible and **secure processes**

Principle 5

In the spirit of **Responsible Care**, ICCA encourages proactive communication on attributes along the product lifecycle

- human health hazards
- exposures according to uses
- environmental impacts
- other sustainability considerations based on scientific data and risk assessment

Key features for communication along the supply chain

1. Degree of information disclosure
2. Management of supplier-customer relationship
3. Scope of product evaluations
4. IT security
5. User friendliness
6. Management of change
7. Transparency in terms of processes
8. Involvement of supply chain / Industry in the tool development process

GADSL (Global Automotive Declarable Substances List) Criteria

- The substance should be expected to be present in a material or part in the vehicle
- The substance is regulated, or is projected to be regulated by a governmental agency or authority
- It is demonstrated that the substance in a material or part in a vehicle may create a **significant risk** to **human health** and/or **the environment**
- A substance that causes a functional problem in vehicle design may be included if its presence in a vehicle part **exceeds** a level shown to be problematic by an international industry standard test

Electronic industry: standard IEC 62474

- The standard explains process requirements and criteria for a referenced material declaration database
- Standard uses existing ISO/IEC “database” process that enables routine updates to reflect changes

Criteria for declarable substances/substance groups

- Criteria 1: Currently Regulated (specific effective date)
- Criteria 2: For Assessment (current regulations with no specific effective date)
- Criteria 3: For information only – recognized industry-wide common market requirement

Conclusions and next steps

- Europe/US/Asia: reach out to electronic industry associations in the region
- Globally: validate the principles for other supply chains (e.g. automotive, apparel)

Interested?
Contact me
sco@cefic.be